

Item 1: Cover Page

Humanize Wealth

Humanize Wealth Partners LLC

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March 26, 2024

This Form ADV Part 2A Disclosure Brochure ("Brochure") provides information about the qualifications and business practices of Humanize Wealth Partners LLC. If you have any questions about the contents of this Brochure, please contact us at (206) 588-6550. The information in this Brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Humanize Wealth Partners LLC is registered as an Investment Adviser with the State of Washington. Registration of an Investment Adviser does not imply any level of skill or training.

Additional information about Humanize Wealth Partners LLC is available on the SEC's website at www.adviserinfo.sec.gov, which can be found using the firm's identification number, 325178.



Item 2: Material Changes

Material Changes Incorporated into March 24, 2024 Update:

Page 9, Assets Under Management (AUM) has been updated to our Fiscal Year End data, and aligns to data included in our concurrent ADV Part 1 amendments.

Future Changes

From time to time, we may amend this Brochure to reflect changes in our business practices, changes in regulations, and routine annual updates as required. We will provide this complete Brochure or a Summary of Material Changes to each client annually. We will provide updated interim brochures to each client as necessary based on changes or new information, without charge. .

At any time, you may view the current Disclosure Brochure online at the SEC's Investment Adviser Public Disclosure website at <http://www.adviserinfo.sec.gov> by searching for our firm name or by our CRD number 325178.

You may also request a copy of this Disclosure Brochure at any time by contacting us at (206) 588-6550.

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Item 4: Advisory Business

Description of Advisory Firm

Humanize Wealth Partners LLC (referred to as “Humanize Wealth” or the “Advisor”) is a 100% employee-owned comprehensive wealth management firm based in Seattle, Washington. We are a limited liability company founded in 2023 and became registered as an investment advisor in 2023. Kyle Vann Mylius and Leslie Brooks Rosenberg are our principal owners. Our services are driven by our clients’ broad personal and financial goals and values. We follow strict fiduciary standards, with our clients’ interest first and foremost in our minds.

Types of Services

Humanize Wealth is a fee-only firm, meaning the only compensation we receive is from our clients for our services. From time to time, Humanize Wealth recommends third-party professionals such as attorneys, accountants, tax advisors, insurance agents, or other financial professionals. Clients are never obligated to utilize any third-party professional we recommend. Humanize Wealth is not affiliated with nor does Humanize Wealth receive any compensation from third-party professionals we may recommend.

Wealth Management Services

Humanize Wealth offers clients comprehensive wealth management services and tailors these services to meet the needs of each advisory client.

Investment Management Services

Our firm provides continuous advice to each client regarding the investment of client funds based on their individual needs. Through personal meetings during which we discuss the client’s particular circumstances, goals and objectives, we develop the client’s personal investment policy or an investment plan with an asset allocation target and create and manage a portfolio based on that policy and allocation targets. We will also review and discuss the client’s prior investment history, as well as family composition and background. Account supervision is guided by the stated objectives of the client (e.g., maximum capital appreciation, growth, income, or growth, and income), as well as risk tolerance and tax considerations.

We primarily advise our clients regarding investments in stocks, bonds, mutual funds, ETFs, U.S.

government and municipal securities, and cash and cash equivalents. We may also provide advice regarding investments held in the client's portfolio at the inception of our advisory relationship and/or other investment types not listed above, at the client's request. For clients that meet the requirements of an "accredited investor" as defined by the U.S. Securities and Exchange Commission, we may provide recommendations for investing in and holding investments in private securities offerings.

When we provide investment management services, clients grant us limited authority to buy and sell securities on a discretionary basis. More information on our trading authority is explained in Item 16 of this Brochure. clients may impose reasonable restrictions on investing in certain securities, types of securities, or industry sectors.

When appropriate, we utilize the services of third-party sub-advisers to assist with the management of client accounts. We will assist the client in completing the sub-advisor's profile questionnaire, and interact on the client's behalf with the sub-advisor to maintain appropriate allocations. Our review process and analysis of sub-advisors is further discussed in Item 8 of this Brochure. Additionally, we will meet with the client on a periodic basis to discuss changes in their personal or financial situation, suitability, and any new or revised restrictions to be applied to the account.

Humanize Wealth contracts with recommended sub-advisors directly to provide sub-advisory services to clients. Clients may engage sub-advisors independently, though often at a higher cost and requiring substantially higher minimum investment thresholds than when coordinated through Humanize Wealth.

When using subadvisor(s), Humanize Wealth continues to serve as a client's primary wealth advisor. In this capacity, Humanize Wealth remains responsible for the ongoing monitoring of sub-advisor managed accounts and for whether the sub-advisor remains suitable in the context of a client's overall investment program. Humanize Wealth will recommend adjustments to sub-advisor engagements and allocations when we believe such changes would be in a client's best interest.

Financial Planning

At no additional fee and at client's election, Humanize Wealth also provides clients with a financial plan. We take clients through a process to establish their goals and values around money, and we gather information such as net worth, cash flow, investments, employee benefits, retirement planning, insurance, college planning, and estate and charitable gifts planning. We then review and analyze each client's information and build their plan and review it with the client. Clients will

receive a detailed financial plan designed to help achieve their stated financial goals and objectives.

Plans for foundations, endowments and similar client entities will be of a more institutional nature, with a particular focus on asset/liability matching, minimum annual distribution requirements, whether the assets are to be managed in perpetuity or to be sundowned at a predetermined time. We monitor the client's plan and financial goals throughout the year.

Financial planning involves an evaluation of a client's current and future financial state by using currently known variables to predict future cash flows, asset values, and withdrawal plans. The key defining aspect of financial planning is that through the financial planning process, all questions, information, and analysis will be considered as they affect and are affected by the entire financial and life situation of the client.

In general, the financial plan will address some or all of the following topics. Plans are tailored to each client's unique needs, values and priorities and may be supported by the use of financial planning software and tools. We work with each client to determine the specific areas of interest. These areas may include, but are not limited to, the following:

- **Business Planning:** We provide consulting services for Clients who currently operate their own business, are considering starting a business, or are planning for an exit from their current business. Under this type of engagement, we work with you to assess your current situation, identify your objectives, and develop a plan aimed at achieving your goals.
- **Cash Flow and Debt Management:** We will conduct a review of your income and expenses to determine your current surplus or deficit along with advice on prioritizing how any surplus should be used or how to reduce expenses if they exceed your income. Advice may also be provided on which debts to pay off first based on factors such as the interest rate of the debt and any income tax ramifications. We may also recommend what we believe to be an appropriate cash reserve that should be considered for emergencies and other financial goals, along with a review of accounts (such as money market funds) for such reserves, plus strategies to save desired amounts.
- **Charitable & Political Donation Strategies:** For clients who wish to align their charitable and political donations with their values and other other financial goals and plans, we will help develop a strategic plan, which may include budgets, thematic areas of focus, tools and processes to use in making allocation decisions, and recommendations for service providers and vendors with specialized expertise in the philanthropic and political sectors.

- **College Savings:** Includes projecting the amount that will be needed to achieve college or other post-secondary education funding goals, along with advice on ways for you to save the desired amount. Recommendations as to savings strategies are included, and, if needed, we will review your financial picture as it relates to eligibility for financial aid or the best way to contribute to grandchildren (if appropriate).
- **Employee Benefits Optimization:** We will provide review and analysis as to whether you, as an employee, are taking the maximum advantage possible of your employee benefits. If you are a business owner, we will consider and/or recommend the various benefit programs that can be structured to meet both business and personal retirement goals.
- **Estate Planning:** This usually includes an analysis of your exposure to estate taxes and your current estate plan, which may include whether you have a will, powers of attorney, trusts, and other related documents. Our advice also typically includes ways for you to minimize or avoid future estate taxes by implementing appropriate estate planning strategies such as the use of applicable trusts. We always recommend that you consult with a qualified attorney when you initiate, update, or complete estate planning activities. We may provide you with contact information for attorneys who specialize in estate planning when you wish to hire an attorney for such purposes. From time-to-time, we will participate in meetings or phone calls between you and your attorney with your approval or request.
- **Financial Goals:** We will help Clients identify financial goals and develop a plan to reach them. We will identify what you plan to accomplish, what resources you will need to make it happen, how much time you will need to reach the goal, and how much you should budget for your goal.
- **Insurance:** Review of existing policies to ensure proper coverage for life, health, disability, long-term care, liability, home, and automobile.
- **Retirement Planning:** Our retirement planning services typically include projections of your likelihood of achieving your financial goals, typically focusing on financial independence as the primary objective. For situations where projections show less than the desired results, we may make recommendations, including those that may impact the original projections by adjusting certain variables (e.g., working longer, saving more, spending less, taking more risk with investments).

If you are near retirement or already retired, advice may be given on appropriate

distribution strategies to minimize the likelihood of running out of money or having to adversely alter spending during your retirement years.

- **Risk Management:** A risk management review includes an analysis of your exposure to major risks that could have a significant adverse impact on your financial picture, such as premature death, disability, property and casualty losses, or the need for long-term care planning. Advice may be provided on ways to minimize such risks and about weighing the costs of purchasing insurance versus the benefits of doing so and, likewise, the potential cost of not purchasing insurance (“self-insuring”).
- **Tax Planning Strategies:** Advice may include ways to minimize current and future income taxes as a part of your overall financial planning picture. For example, we may make recommendations on which type of account(s) or specific investments should be owned based in part on their “tax efficiency,” with the consideration that there is always a possibility of future changes to federal, state or local tax laws and rates that may impact your situation.

We recommend that you consult with a qualified tax professional before initiating any tax planning strategy, and we may provide you with contact information for accountants or attorneys who specialize in this area if you wish to hire someone for such purposes. We will participate in meetings or phone calls between you and your tax professional with your approval. We are not compensated for referrals to outside accountants or attorneys.

Family Office Style Services

In addition to traditional investment management and financial planning services described in this disclosure document, Humanize Wealth also provides family office services to select clients. These services may include coordination among advisors designated by the client (legal, estate, accounting, insurance, and banking), support for succession planning, significant transactions, financings, and other ad hoc services requested by the client. Family office services may not be offered to all Humanize Wealth clients and there are no additional charges for these services.

Project-Based Financial Consulting

In addition to the Wealth Management services described above, we provide project-based financial consulting services on a limited scope engagement for which we charge an hourly fee. Project-based financial consulting is available for clients looking to address specific questions or issues. The client may choose from one or more of the above topics to cover or other areas as requested and agreed to by Humanize Wealth. Consulting services may also include business consulting, advice on non-securities

matters and any other specific consultation regarding financial and investment concerns of the individual or institution. For Project-based financial consulting, the client will be ultimately responsible for the implementation of the recommended financial solutions.

Education Seminars

We may provide seminars for groups seeking general advice on investments and other areas of personal finance. These seminars are purely educational in nature and do not involve the sale of any investment products. Information presented will not be based on any individual's need, nor does Humanize Wealth provide individualized investment advice to attendees during these seminars. Topics covered during educational seminars will be determined by the client and Humanize Wealth.

Client Tailored Services and Client Imposed Restrictions

We tailor the delivery of our services to meet the individual needs of our clients. We consult with clients initially and on an ongoing basis, through the duration of their engagement with us, to determine cash flow needs, risk tolerance, time horizon, tax constraints and other factors that may impact the clients' investment and/or planning needs.

Clients are able to specify, within reason, any restrictions they would like to place as it pertains to individual securities and/or sectors that will be traded in their account. All such requests must be provided to Humanize Wealth in writing. Humanize Wealth will notify clients if they are unable to accommodate any requests.

Wrap Fee Programs

We do not participate in wrap fee programs.

Assets Under Management

As of December 31, 2023, Humanize Wealth had \$10,781,664 in discretionary and \$0 in non-discretionary assets under management.

Item 5: Fees and Compensation

Please note, unless a client has received this brochure at least 48 hours prior to signing an Advisory Contract, the Advisory Contract may be terminated by the client within five (5) business days of signing the Advisory Contract without penalty.

How we are paid depends on the type of advisory services we perform. Below is a brief description of our fees, however, you should review your executed Advisory Contract for more detailed information regarding the exact fees you will be paying. No increase to the agreed-upon advisory fees outlined in the Advisory Contract shall occur without prior written client consent.

Wealth Management Services

The fee is based on a percentage of assets under management and is negotiable. The annualized fees for wealth management services are based on the following fee schedule:

- 1.00% per year for the first \$3,000,000
- 0.80% per year for the next \$7,000,000
- 0.65% per year for the next \$15,000,000
- 0.50% per year for the next \$25,000,000
- 0.35% per year for amounts over \$50,000,000

The annual advisory fee is paid quarterly in advance based on the aggregate value of a client's account(s) as of the last day of the billing period. The advisory fee is a blended tier. For example, for assets under management of \$5,000,000, a client's annual fee rate is 1.00% on the first \$3,000,000 and 0.80% on the next \$2,000,000.

To the extent that clients invest in private investments that we recommended, 100% of the fair market value of the investment will be included in billable assets for the first year, commencing as of the date the investment is funded. Starting from the second year, we include 50% of the fair market value of private investment funds in billable assets and we track the number of hours spent providing investment advice on these investments. During each billing cycle, if the number of hours spent providing investment advice on a private investment fund multiplied by our hourly rate of \$375 is less than the fee charged based on 50% of the fair market value of the private investment, then we will refund the client the difference in fees.

To aggregate client account values across members of the same household for advisory fee billing purposes, Humanize Wealth employs a process known as householding. Householding involves combining the individual account values of related clients, such as spouses or family members living in the same household, to calculate a consolidated account value. At Humanize Wealth's sole discretion, householding may also be applied across accounts for related clients not living in the same household but where planning and investment services are provided to and coordinated with related parties.

Humanize Wealth relies on the valuation as provided by the client's custodian in determining assets under management. Our advisory fee is prorated for any partial billing periods occurring during the engagement, including the initial and terminating billing periods. Clients may make additions or withdrawals from their account at any time and fees will be adjusted for deposits or withdrawals to/from a client's account.

If the client terminates its relationship with Humanize Wealth before we finish the client's written financial plan or analysis, we will deliver any completed portions of any documents to the client.

Where we recommend private investments that are non-custodied, Humanize Wealth will rely on capital account statements provided by the respective private investment sponsor to determine the value of the private investment account to be included within the client fee calculation and reflected in the total amount shown on each client invoice. Interest in private investment funds will be valued at their "fair value," as determined as consistent with our fiduciary duty and according to the policies established by the underlying investment manager or other third-party valuation agent employed by such investment manager, such as the fund administrator.

Project-Based Financial Consulting

We charge an hourly fee for Project-Based Financial Consulting. Our hourly rate is \$375. Fees are negotiable and the final agreed upon fee will be outlined in your Advisory Contract. Humanize Wealth collects a portion of the fee in advance with the remainder due upon completion of the services. If the service that is provided does not meet the required hourly threshold, the amount due will be reduced according to the service that was completed and any unearned fee will be refunded to the client. For services to be delivered after more than six months, Humanize Wealth will not bill an amount above \$500 in advance of rendering the services.

If the client terminates its relationship with Humanize Wealth before we finish the client's written financial plan or analysis, we will deliver any completed portions of any documents to the client. Clients have the option to purchase investment products that we recommend through other brokers or agents that are not affiliated with Humanize Wealth.

Educational Seminars/ Speaking Engagements

Seminars and speaking engagements are offered to organizations and the public on a variety of financial topics. Fees range from \$0 to \$5,000 per seminar or \$0 to \$250 per participant and are negotiable. The fee range is based on the content, amount of research conducted, the number of hours of preparation needed, and the number of attendees. Humanize Wealth collects a portion of the fee to be collected in advance with the remainder due at the conclusion of the Seminar. Advisor offers its services in a virtual or in-person setting. Should the event require travel arrangements, all parties must agree to the terms of travel (i.e. cost, distance, hotel arrangements) at the start of the engagement.

Fee Payment

For Wealth Management services, we deduct our advisory fee from one or more account(s) held at an unaffiliated third-party custodian, as directed by each client. Please refer to Item 15 of this Brochure regarding our policy on direct fee deduction.

Our fees are exclusive of brokerage commissions, transaction fees, and other related costs and expenses which may be incurred by the client. Clients may incur certain charges imposed by custodians, brokers, and other third parties such as custodial fees, deferred sales charges, odd-lot differentials, transfer taxes, wire transfer, and electronic fund fees, and other fees and taxes on brokerage accounts and securities transactions. Mutual funds, private funds, and exchange-traded funds also charge internal management fees, which are disclosed in a fund's prospectus. Such charges, fees, and commissions are exclusive of and in addition to our fee, and we shall not receive any portion of these commissions, fees, and costs.

Sub-advisory fees are separate from and in addition to the advisory fees paid to Humanize Wealth, similar to the way clients incur investment fees when investing in mutual funds and ETFs. Prior to investment, clients are provided the Form ADV Part 2A (or similar disclosure) for any sub-advisor recommended, which provides complete detail on the sub-advisory fees, billing schedule, and payment procedures. We generally negotiate sub-advisory fees on behalf of clients and are often able to receive wholesale-like pricing as a result. All sub-advisors we recommend are not affiliated with Humanize Wealth and no referral fees are paid or received. Sub-advisory fees will be reflected separately from and in addition to Humanize Wealth' fees on custodian account statements.

Clients may also pay project-based consulting service fees by electronic funds transfer (EFT) or check. We may use an independent third party payment processor in which the client can securely input their banking information and pay their fee. We do not have access to the client's banking information at any time. Clients will be provided with their own secure portal in order to make payments.

Clients may pay fees for educational seminars and speaking engagements by electronic funds transfer (EFT) or check.

Other Types of Fees and Expenses

Item 12 further describes the factors we consider in selecting or recommending broker-dealers for client's transactions and determining the reasonableness of their compensation (e.g., commissions).

Clients may incur fees from third-party professionals such as accountants and attorneys that Humanize Wealth may recommend, upon client request. Such fees are separate and distinct from Humanize Wealth' advisory fees.

Terminations and Refunds

For Wealth Management services, the Advisory Contract may be terminated with written notice at least 15 calendar days in advance. Upon termination of the Advisory Contract, a prorated refund will be provided to the client based upon the number of days the account was open in the final billing period.

For Project-Based Financial Consulting services, this service is not an ongoing engagement, thus upon receipt of the final fees, the contract will automatically be terminated. Clients may terminate at any time provided written notice. The refund or fee reduction will be based on the number of hours of work completed. If fees are paid in advance, a prorated refund will be given, if applicable, upon termination of the contract for any unearned fee based on the hours worked. For fees paid in arrears, clients shall be charged a pro-rata fee based upon the hours of the work completed up to the date of termination.

Sale of Securities or Other Investment Products

Advisor and its supervised persons do not accept compensation for the sale of securities or other investment products including asset-based sales charges or service fees from the sale of mutual funds.

Item 6: Performance-Based Fees and Side-By-Side Management

We do not accept performance-based fees and do not engage in side-by-side management. All fees are calculated as described above and are not charged on the basis of income or capital gains or capital appreciation of the funds.

Certain private funds recommended by Humanize Wealth may provide for performance-based fees to be paid to the fund's manager with respect to qualified clients.

Item 7: Types of Clients

We provide financial planning and portfolio management services to high net-worth and non-high net worth individuals, families, trusts, charitable institutions, foundations, endowments and family owned corporations, limited liability companies and limited partnerships.

Our minimum account size requirement is \$2,000,000 to open or maintain an account under our management. Humanize Wealth may group certain related client accounts for purposes of achieving the minimum account size, or may reduce or waive the minimum account size in its sole discretion on a case-by-case basis.

Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis and Investment Strategies

We provide responsible, sustainable and impact investment strategies within public equity and fixed income, and an array of private equity, debt and real asset investments. Private investments will typically be focused on achieving financial goals alongside social and environmental impact outcomes. Our investment advice is supported by comprehensive research and a strong due diligence process. We conduct a thorough analysis of potential investment strategies, including review of environmental, social

and governance (ESG) risk and opportunity analysis, the quality of investment teams and processes, and the clarity and consistency of the stated strategy and decision process, before making portfolio recommendations, and in conducting ongoing portfolio monitoring.

In implementing our clients' investment strategies, we attempt to identify an appropriate ratio of equities, fixed income, and cash (i.e. "asset allocation") suitable to the client's investment goals and risk tolerance. We may choose investment vehicles that are considered passive, active, or a combination of both styles. We may construct portfolios that utilize a combination of mutual funds, ETFs, individual securities and private investment offerings. These portfolios may be customized to reflect the personal values of each client, applying broad or tailored ESG factors. This allows our clients to invest in a way that aligns with their values.

Material Risks Involved with Methods of Analysis and Investment Strategies

All investing strategies we offer involve risk and may result in a loss of your original investment which you should be prepared to bear. Many of these risks apply equally to stocks, bonds and other investments and securities. Material risks associated with our investment strategies are listed below.

Market Risk: Market risk involves the possibility that an investment's current market value will fall because of a general market decline, reducing the value of the investment regardless of the operational success of the issuer's operations or its financial condition.

Strategy Risk: Our investment strategies and/or investment techniques may not work as intended.

ESG Risks: Humanize Wealth integrates ESG factors into its investment strategies, in addition to traditional financial criteria. Our approach may exclude companies and industries, and may limit the type or number of investments in your portfolio. As a result, your portfolio may not be as well diversified and/or more volatile and may exclude some holdings that would otherwise perform well.

Management Risk: Our judgments about the attractiveness, value, and potential income or appreciation of a particular asset class, individual security, or strategy may be incorrect and there is no guarantee that individual securities will perform as anticipated.

Small and Medium Cap Company Risk: Securities of companies with small and medium market capitalizations are often more volatile and less liquid than investments in larger companies. Small and medium cap companies may face a greater risk of business failure, which could increase the volatility of a client's portfolio.

Turnover Risk: Actively managed mutual funds and portfolios tend to have a higher turnover rate than passive funds and portfolios. A high portfolio turnover would result in higher transaction costs and in higher taxes when shares are held in a taxable account. These factors may negatively affect an account's performance.

Limited markets: Certain securities may be less liquid (harder to sell or buy) and their prices may at times be more volatile than at other times. Under certain market conditions, we may be unable to sell or liquidate investments at prices we consider reasonable or favorable or find buyers at any price.

Interest Rate Risk: Bond (fixed income) prices generally fall when interest rates rise, and the value may fall below par value or the principal investment. The opposite is also generally true: bond prices generally rise when interest rates fall. In general, fixed income securities with longer maturities are more sensitive to these price changes. Most other investments are also sensitive to the level and direction of interest rates.

Legal or Legislative Risk: Legislative changes or court rulings may impact the value of investments, or the securities' claim on the issuer's assets and finances.

Inflation: Inflation may erode the buying power of your investment portfolio, even if the dollar value of your investments remains the same.

Risks Associated with Securities

Apart from the general risks outlined above which apply to all types of investments, specific securities may have other risks.

Commercial Paper is, in most cases, an unsecured promissory note that is issued with a maturity of 270 days or less. Being unsecured the risk to the investor is that the issuer may default.

Common stocks may go up and down in price quite dramatically, and in the event of an issuer's bankruptcy or restructuring could lose all value. A slower-growth or recessionary economic environment could have an adverse effect on the price of all stocks.

Corporate Bonds are debt securities to borrow money. Generally, issuers pay investors periodic interest and repay the amount borrowed either periodically during the life of the security and/or at maturity. Alternatively, investors can purchase other debt securities, such as zero coupon bonds, which do not pay current interest, but rather are priced at a discount from their face values and their values accrete over time to face value at maturity. The market prices of debt securities fluctuate depending on factors such

as interest rates, credit quality, and maturity. In general, market prices of debt securities decline when interest rates rise and increase when interest rates fall. The longer the time to a bond's maturity, the greater its interest rate risk.

Bank Obligations including bonds and certificates of deposit may be vulnerable to setbacks or panics in the banking industry. Banks and other financial institutions are greatly affected by interest rates and may be adversely affected by downturns in the U.S. and foreign economies or changes in banking regulations.

Municipal Bonds are debt obligations generally issued to obtain funds for various public purposes, including the construction of public facilities. Municipal bonds pay a lower rate of return than most other types of bonds. However, because of a municipal bond's tax-favored status, investors should compare the relative after-tax return to the after-tax return of other bonds, depending on the investor's tax bracket. Investing in municipal bonds carries the same general risks as investing in bonds in general. Those risks include interest rate risk, reinvestment risk, inflation risk, market risk, call or redemption risk, credit risk, and liquidity and valuation risk.

Exchange Traded Funds prices may vary significantly from the Net Asset Value due to market conditions. Certain Exchange Traded Funds may not track underlying benchmarks as expected. ETFs are also subject to the following risks: (i) an ETF's shares may trade at a market price that is above (premium) or below (discount) their net asset value and an ETF purchased at a premium may ultimately be sold at a discount; (ii) trading of an ETF's shares may be halted if the listing exchange's officials deem such action appropriate, the shares are delisted from the exchange, or the activation of market-wide "circuit breakers" (which are tied to large decreases in stock prices) halts stock trading generally. The Adviser has no control over the risks taken by the underlying funds in which the clients invest.

Mutual Funds When a client invests in open-end mutual funds or ETFs, the client indirectly bears its proportionate share of any fees and expenses payable directly by those funds. Therefore, the client will incur higher expenses, many of which may be duplicative. In addition, the client's overall portfolio may be affected by losses of an underlying fund and the level of risk arising from the investment practices of an underlying fund (such as the use of derivatives).

Alternative Investment Strategies and Private Investments: Humanize Wealth may recommend alternative investment strategies and/or private investment opportunities. Investments in alternative asset classes, such as real estate, private equity, and private debt, generally carry a higher level of risk of loss, may employ the use of leverage, and have limited liquidity. Material risks involved with alternative investment strategies and private investment opportunities are listed below.

- **Liquidity Risk:** Many private investment funds require an investment be held for 1-10 years or more, with little to no ability to sell or liquidate the investment before maturity.

- **Concentration risk:** Private investment funds typically invest in a limited number of assets (e.g. real estate properties, privately held companies, energy projects). These investments are thus subject to the greater risk related to the financial performance and results of individual assets compared to more diversified investments like mutual funds and ETFs.
- **Sponsor & Asset Risk:** Private investment fund sponsors that manage private funds and privately owned assets held within these funds are subject to fewer regulatory requirements compared to publicly traded companies and securities.
- **Geographic Risk:** Certain private investments may be more concentrated within a narrowly defined geographic region, including investments limited to holding assets in a specific state, county or city. These investments are subject to risks including natural disasters, economic impacts related to adjacent large employers and similar factors.

Private fund strategies we may employ include but are not limited to:

- **Private real asset funds** (real estate, renewable energy, timber/agriculture) - typically have terms ranging between 3-10 year hold periods and often have a combination of periodic income distributions and irregular capital calls and distributions that need to be carefully coordinated, verified, and reported.
- **Private renewable energy funds** - may have short required hold periods of a year or less, provide monthly or quarterly distributions, and involve complex tax credits that need to be verified, reported, and explained.
- **Private debt funds** - often have quarterly redemption features or annual “rollover” windows, with or without an initial lockup period.
- **Revenue-based finance (royalty) funds** - have variable monthly or quarterly distribution features that need to be verified, tracked, and reported.
- **Private equity funds** - from seed stage to more mature investments are typically the most illiquid, though still require ongoing oversight, communication, liquidity management, administration, and reporting.
- **‘Impact first’ strategies** - with a range of liquidity provisions including loan guarantees, ‘first loss/first return’ fund tranches, and funds targeting 0% return on capital that could be recommended for certain clients with the risk tolerance and risk budget to accommodate such strategies within their portfolios.

Item 9: Disciplinary Information

Criminal or Civil Actions

Humanize Wealth and its management have not been involved in any criminal or civil action.

Administrative Enforcement Proceedings

Humanize Wealth and its management have not been involved in administrative enforcement proceedings.

Self-Regulatory Organization Enforcement Proceedings

Humanize Wealth and its management have not been involved in legal or disciplinary events that are material to a client's or prospective client's evaluation of Humanize Wealth or the integrity of its management.

Item 10: Other Financial Industry Activities and Affiliations

Broker-Dealer Affiliation

Neither Humanize Wealth or its management persons is registered, or have an application pending to register, as a broker-dealer or a registered representative of a broker-dealer.

Other Affiliations

Neither Humanize Wealth or its management persons is registered, or have an application pending to register, as a futures commission merchant, commodity pool operator, commodity trading advisor, or an associated person of the foregoing entities.

Related Persons

Neither Humanize Wealth or its management persons have any relationship or arrangement with any related parties.

Recommendations or Selections of Other Investment Advisers

Humanize Wealth may recommend other investment advisers for our clients, but it does not receive any compensation related to such recommendations.

Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

As a fiduciary, our firm and its associates have a duty to put the Client's interest first, which includes, but is not limited to, a duty of care, loyalty, obedience, and utmost good faith. Our clients entrust us with their funds and personal information, which in turn places a high standard on our conduct and integrity. Our fiduciary duty is a core aspect of our Code of Ethics and represents the expected basis of all of our dealings. The firm also adheres to the Code of Ethics and Professional Responsibility adopted by the CFP® Board of Standards Inc., and accepts the obligation not only to comply with the mandates and requirements of all applicable laws and regulations but also to take responsibility to act in an ethical and professionally responsible manner in all professional services and activities. Additionally, Humanize Wealth requires adherence to its Insider Trading Policy, and the CFA Institute's Asset Manager Code of Professional Conduct and Code of Ethics and Standards of Professional Conduct.

Code of Ethics Description

Our Code of Ethics does not attempt to identify all possible conflicts of interest, and literal compliance with each of its specific provisions will not shield associated persons from liability for personal trading or other conduct that violates a fiduciary duty to advisory clients. A summary of the Code of Ethics' Principles is outlined below.

- Integrity - Associated persons shall offer and provide professional services with integrity.
- Objectivity - Associated persons shall be objective in providing professional services to clients.
- Competence - Associated persons shall provide services to clients competently and maintain the necessary knowledge and skill to continue to do so in those areas in which they are engaged.
- Fairness - Associated persons shall perform professional services in a manner that is fair and reasonable to clients, principals, partners, and employers, and shall disclose conflict(s) of interest in providing such services.
- Confidentiality - Associated persons shall not disclose confidential client information without the specific consent of the client unless in response to proper legal process, or as required by law.
- Professionalism - Associated persons' conduct in all matters shall reflect the credit of the profession.

- Diligence - Associated persons shall act diligently in providing professional services.

We periodically review and amend our Code of Ethics to ensure that it remains current, and we require all firm access persons to attest to their understanding of and adherence to the Code of Ethics at least annually. Our firm will provide a copy of its Code of Ethics to any client or prospective client upon request.

Investment Recommendations Involving a Material Financial Interest and Conflicts of Interest

Neither our firm, its associates or any related person is authorized to recommend to a client or effect a transaction for a client, involving any security in which our firm or a related person has a material financial interest, such as in the capacity as an underwriter, adviser to the issuer, etc.

Advisory Firm Purchase of Same Securities Recommended to Clients and Conflicts of Interest

Our firm, its access persons, and its related persons may buy or sell securities similar to, or different from, those we recommend to clients. In an effort to reduce or eliminate certain conflicts of interest, our Code of Ethics may require that we restrict or prohibit access persons' transactions in specific reportable securities. Any exceptions or trading pre-clearance must be approved by Humanize Wealth's Chief Compliance Officer in advance of the transaction in an account. Humanize Wealth maintains a copy of access persons' personal securities transactions as required.

Trading Securities At/Around the Same Time as Client's Securities

From time to time our firm, its access persons, or its related persons may buy or sell securities for themselves at or around the same time as they buy or sell securities for clients' account(s). To address this conflict, it is our policy that neither our firm or access persons shall have priority over clients' accounts in the purchase or sale of securities.

Item 12: Brokerage Practices

Humanize Wealth recommends that clients utilize the brokerage and clearing services of Charles Schwab & Co, Inc. ("Schwab"). Schwab allows for us to transact securities at a negotiated rate. The commissions and/or transaction fees charged by Schwab may be higher or lower than those charged by other broker-dealers. By directing clients to a particular brokerage firm, clients may be unable to achieve the most favorable execution of transactions, and this practice may cost clients more money.

We have an obligation to seek the “best execution” of transactions in client accounts. The determinative factor in the analysis of best execution is not the lowest possible commission cost, but whether the transaction represents the best qualitative execution, taking into consideration the full range of the broker’s services. The factors we consider when evaluating a broker for best execution include, without limitation, the broker’s:

- Combination of transaction execution services and asset custody services (generally without a separate fee for custody);
- Capability to execute, clear, and settle trades (buy and sell securities for your account);
- Capability to facilitate transfers and payments to and from accounts (wire transfers, check requests, bill payment, etc.);
- Breadth of available investment products (stocks, bonds, mutual funds, exchange-traded funds (ETFs), etc.);
- Availability of investment research and tools that assist us in making investment decisions
- Quality of services;
- Competitiveness of the price of those services (commission rates, margin interest rates, other fees, etc.) and willingness to negotiate the prices;
- Reputation, financial strength, security and stability;
- Prior service to us and our clients.

With this in consideration, our firm recommends Schwab, an independent and unaffiliated SEC registered broker-dealer firm and member of the Financial Industry Regulatory Authority (“FINRA”) and the Securities Investor Protection Corporation (“SIPC”). We are not affiliated with Schwab. The client will ultimately make the final decision of the custodian to be used to hold the client’s investments by signing the custodian’s account opening documentation.

Research and Other Soft-Dollar Benefits

We do not have any soft-dollar arrangements with custodians whereby soft-dollar credits, used to purchase products and services, are earned directly in proportion to the amount of commissions paid by a client. However, as a result of being on their institutional platform, Schwab may provide us with certain services that may benefit us.

Schwab Advisor Services™ is Schwab’s business serving independent investment advisory firms like us. They provide our clients and us with access to their institutional brokerage services (trading, custody, reporting and related services), many of which are not typically available to Schwab retail customers. Schwab also makes available various support services. Some of those services help us manage or administer our clients’ accounts, while others help us manage and grow our business. Schwab’s support services are generally available on an unsolicited basis (we don’t have to request them) and at no charge

to us. The benefits received by Advisor or its personnel do not depend on the number of brokerage transactions directed to Schwab. As part of its fiduciary duties to clients, Advisor at all times must put the interests of its clients first. Clients should be aware, however, that the receipt of economic benefits by Advisor or its related persons in and of itself creates a potential conflict of interest and may indirectly influence the Advisor's choice of Schwab for custody and brokerage services. This conflict of interest is mitigated as Advisor regularly reviews the factors used to select custodians to ensure our recommendation is appropriate. Following is a more detailed description of Schwab's support services:

- 1. Services that benefit you.** Schwab's institutional brokerage services include access to a broad range of investment products, execution of securities transactions, and custody of client assets. The investment products available through Schwab include some to which we might not otherwise have access or that would require a significantly higher minimum initial investment by our clients. Schwab's services described in this paragraph generally benefit you and your account.
- 2. Services that may not directly benefit you.** Schwab also makes available to us other products and services that benefit us but may not directly benefit you or your account. These products and services assist us in managing and administering our clients' accounts. Schwab also makes available software and other technology that:
 - provide access to client account data (such as duplicate trade confirmations and account statements)
 - facilitate trade execution and allocate aggregated trade orders for multiple client accounts
 - provide pricing and other market data
 - facilitate payment of our fees from our clients' accounts
 - assist with back-office functions, recordkeeping, and client reporting
- 3. Services that generally benefit only us.** Schwab also offers other services intended to help us manage and further develop our business enterprise. These services include:
 - Educational conferences and events
 - Consulting on technology, compliance, legal, and business needs
 - Publications and conferences on practice management and business succession
- 4. Your brokerage and custody costs.** For our clients' accounts that Schwab maintains, Schwab generally does not charge you separately for custody services but is compensated by charging you commissions or other fees on trades that it executes or that settle into your Schwab account. Certain trades (for example, many mutual funds and ETFs) may not incur Schwab commissions or transaction fees.

Brokerage for Client Referrals

We receive no referrals from a custodian, broker-dealer or third party in exchange for using that custodian, broker-dealer or third party.

Aggregating (Block) Trading for Multiple Client Accounts

Investment advisers may elect to purchase or sell the same securities for several clients at approximately the same time when they believe such action may prove advantageous to clients. This process is referred to as aggregating orders, batch trading or block trading. We do not engage in block trading. It should be noted that implementing trades on a block or aggregate basis may be less expensive for client accounts; however, it is our trading policy to implement all client orders on an individual basis. Therefore, we do not aggregate or “block” client transactions. Considering the types of investments we hold in advisory client accounts, we do not believe clients are hindered in any way because we trade accounts individually. This is because we develop individualized investment strategies for clients and holdings will vary. Our strategies are primarily developed for the long-term and minor differences in price execution are not material to our overall investment strategy.

Item 13: Review of Accounts

Periodic Reviews

Clients who engage us for investment management services will have their account(s) reviewed regularly on a quarterly basis by Kyle Mylius, Partner and Head of Investments. The account(s) are reviewed with regards to the client’s investment policies and risk tolerance levels.

Triggers of Reviews

Events that may trigger a special review would be unusual performance, addition or deletions of client-imposed restrictions, excessive draw-down, volatility in performance, or buy and sell decisions from the firm or per client's needs.

Review Reports

Clients will receive trade confirmations from the custodian(s) for each transaction in their accounts as well as monthly or quarterly statements and annual tax reporting statements from their custodian showing all activity in the accounts, such as receipt of dividends and interest.

Humanize Wealth will provide written reports to Wealth Management clients on a quarterly basis. We urge clients to compare these reports against the account statements they receive from their custodian. The content of these reports will include, but are not limited to, a summary of accounts with starting and ending balances over a stated period, details on how the portfolio is allocated across different investments and asset classes, investment performance of each account over stated time periods and since the inception of the account.

Item 14: Client Referrals and Other Compensation

Compensation Received by Humanize Wealth LLC

Humanize Wealth is a fee-only firm that is compensated solely by its clients. Humanize Wealth does not receive commissions or other sales-related compensation. Except as mentioned in Item 12 above, we do not receive any economic benefit, directly or indirectly, from any third party for advice rendered to our clients.

Client Referrals from Solicitors

Humanize Wealth does not, directly or indirectly, compensate any person who is not advisory personnel for client referrals.

Item 15: Custody

Humanize Wealth does not hold, directly or indirectly, client funds or securities, or have any authority to obtain possession of them. All client assets are held at a qualified custodian.

If Humanize Wealth deducts its advisory fee from client's account(s), the following safeguards will be applied:

1. The client will provide written authorization to Humanize Wealth, permitting us to be paid directly from the client's accounts held by the custodian.
2. The Advisor will take steps to ensure that the custodian will send at least quarterly statements to the client showing all disbursements from the accounts, including the amount of the advisory fee.

Each time the Advisor charges a fee, the Advisor will send the client a written invoice, including the fee,

the formula used to calculate the fee, the fee calculation itself, the time period covered by the fee, and, if applicable, the amount of assets under management on which the fee was based. Also, the Advisor will include the name of the custodian(s) on the fee invoice. The Advisor will send these to the client concurrent with the request for payment or payment of the Advisor's advisory fees.

We urge you to carefully review custodial statements and compare them to the account invoices or reports that we may provide to you and notify us of any discrepancies. Our invoices or reports may vary from custodial statements based on accounting procedures, reporting dates, or valuation methodologies of certain securities. Certain private investments that may be recommended to and held by clients are 'non-custodied'. Since these assets are typically not reported by our primary custodian, or any custodian, we note that client reports provided by Humanize Wealth that include portfolio asset values and performance results may be different from the list of assets and values shown on the custodian's statements.

Item 16: Investment Discretion

For those client accounts where we provide Investment Management Services, Humanize Wealth has discretionary authority and limited power of attorney to determine the securities and the amount of securities to be bought or sold for a client's account without having to obtain prior client approval for each transaction. Investment discretion is explained to clients in detail when an advisory relationship has commenced. At the start of the advisory relationship, the client will execute a Limited Power of Attorney, which will grant our firm discretion over the account(s). Additionally, the discretionary relationship will be outlined in the Advisory Contract and signed by the client. Clients may limit our discretion by requesting certain restrictions on investments. However, approval of such requests are at the firm's sole discretion.

Item 17: Voting Client Securities

Humanize Wealth will vote proxies for securities held by our clients for which we serve as the investment advisor and have proxy voting authority. We may delegate this responsibility to a third-party advisory firm. We generally will not hold proxy voting authority with respect to portfolio investments managed directly by a sub-advisor. In the event we hold responsibility for voting proxies, all proxies will be voted consistent with ESG guidelines established and described in our proxy voting guidelines, as such guidelines may be amended from time to time. At any time, clients may contact us to request information about how we voted proxies or to get a copy of our Proxy Voting Policy. Although our ESG guidelines are to be followed as a general policy, certain issues will be considered on a case-by-case

basis given the relevant facts and circumstances. In situations where there may be a conflict of interest in the voting of proxies due to business or personal relationships that Humanize Wealth or any of our supervised persons maintains with persons having an interest in the outcome of certain votes, we will take appropriate steps to ensure that our proxy voting decisions are made in the best interest of our clients and are not the product of such conflict.

Clients may choose to vote their own proxies for some securities held in their account or designate a third party to vote proxies. In this case, the client must notify Humanize Wealth. Proxy solicitations will then be sent directly to the client or their designee, who will then assume responsibility for proxy voting.

Humanize Wealth will neither advise nor act on behalf of the client in legal proceedings involving companies whose securities are held in the client's account, including but not limited to, the filing of "Proofs of Claim" in class action settlements.

Item 18: Financial Information

We have no financial commitment that impairs our ability to meet contractual and fiduciary commitments to clients, and we have not been the subject of a bankruptcy proceeding. We do not have custody of client funds or securities or require or solicit prepayment of more than \$500 in fees per client six months or more in advance.

Item 19: Requirements for State-Registered Advisers

Principal Officers

Kyle Vann Mylius and Leslie Brooks Rosenberg serve as Humanize Wealth's principals. Information about their education, business background, and outside business activities can be found on their respective ADV Part 2B, Brochure Supplement attached to this Brochure.

Outside Business

All outside business information, if applicable, of Humanize Wealth is disclosed in Item 10 of this Brochure.

Performance-Based Fees

Neither Humanize Wealth nor Kyle Vann Mylius or Leslie Brooks Rosenberg is compensated by performance-based fees.

Material Disciplinary Disclosures

No management person at Humanize Wealth has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Material Relationships That Management Persons Have With Issuers of Securities

Neither Humanize Wealth nor Kyle Vann Mylius or Leslie Brooks Rosenberg have any relationship or arrangement with issuers of securities.

Humanize Wealth Partners LLC

2800 1st Avenue, Suite 329
Seattle, WA 98121
(206) 588-6550

Form ADV Part 2B – Brochure Supplement

March 26, 2024

For

Leslie Brooks Rosenberg - Individual CRD# 5173650

Partner & Head of Financial Planning

This brochure supplement provides information about Leslie Brooks Rosenberg that supplements the Humanize Wealth LLC (“Humanize Wealth”) brochure. A copy of that brochure precedes this supplement. Please contact Kyle Mylius if the Humanize Wealth brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Leslie Brooks Rosenberg is available on the SEC’s website at www.adviserinfo.sec.gov which can be found using the identification number 5173650.

Item 2: Educational Background and Business Experience

Leslie Brooks Rosenberg

Born: 1986

Educational Background

- 2008 – Bachelors of Arts in Economics, Bryn Mawr College

Business Experience

- 02/2023 – Present, Humanize Wealth LLC, Partner & Head of Financial Planning
- 06/2021 – 01/2023, Blue Water Wealth Inc., Lead Advisor, Investment Advisor Representative
- 1/2016 – 06/2021, Paracle Advisors, LLC, Investment Advisor Representative
- 06/2015 – 12/2015, Unemployed
- 08/2012 – 06/2015, J.P. Morgan Securities LLC, Associate
- 05/2012 – 08/2012, Blackrock, Analyst
- 05/2011 – 05/2012, Princeton University, Research Analyst
- 07/2008 – 05/2011, J.P. Morgan Securities Inc., Analyst

Professional Designations, Licensing & Exams

CFP (Certified Financial Planner)®: The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent

and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real-world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board's *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field.
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3: Disciplinary Information

No management person at Humanize Wealth has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Leslie Brooks Rosenberg is not involved with outside business activities.

Item 5: Additional Compensation

Leslie Brooks Rosenberg does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Humanize Wealth.

Item 6: Supervision

Kyle Vann Mylius, as Partner & Head of Investments at Humanize Wealth, is responsible for supervision. He may be contacted at the phone number on this brochure supplement.

Item 7: Requirements for State Registered Advisers

Leslie Brooks Rosenberg has NOT been involved in an arbitration, civil proceeding, self-regulatory proceeding, administrative proceeding, or a bankruptcy petition.

Humanize Wealth Partners LLC

2800 1st Avenue, Suite 329
Seattle, WA 98121
(206) 588-6550

Form ADV Part 2B – Brochure Supplement

March 26, 2024

For

Kyle Vann Mylius - Individual CRD# 2658148

Partner & Head of Investments

This brochure supplement provides information about Kyle Vann Mylius that supplements the Humanize Wealth LLC (“Humanize Wealth”) brochure. A copy of that brochure precedes this supplement. Please contact Kyle Vann Mylius if the Humanize Wealth brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Kyle Vann Mylius is available on the SEC’s website at www.adviserinfo.sec.gov which can be found using the identification number 2658148.

Item 2: Educational Background and Business Experience

Kyle Vann Mylius

Born: 1966

Educational Background

- 1989 - BBA, Finance, University of Texas at Austin
- 2010 - MBA Certificate in Sustainable Business Management, Bainbridge Graduate Institute

Business Experience

- 02/2023 – Present, Humanize Wealth LLC, Partner & Head of Investments
- 11/2020 – 01/2023, Newground Social Investment, Senior Advisor
- 06/2018 – 10/2020, Braided Water LLC, President
- 01/2017 – 05/2018, Green Canopy Inc., VP, Investor Relations & Strategy
- 10/2016 – 12/2017, Threshold Group LLC, Director
- 10/2012 – 09/2016, The Caprock Group, Investment Advisor Rep, VP Client Advisor
- 02/2012 – 10/2012, Unemployed
- 08/2011 – 02/2012, Quasar Distributors, LLC, Registered Representative
- 07/2011 – 02/2012, Portfolio 21 Investments, VP
- 05/2010 – 07/2011, Unemployed
- 08/2009 – 05/2010, Foreside Fund Services LLC, Registered Representative
- 05/2008 – 05/2010, Bridgeway Capital Management, Partner
- 11/2002 – 05/2008, Rainier Investment Management, Principal & Director Client Service
- 11/1995 – 10/2002, Russell/Mellon Analytical Services, Client Exec & Manager, Analytics
- 02/1989 – 11/1995, Nicholas Applegate Asset Management, Sales Associate

Professional Designations, Licensing & Exams

Chartered Financial Analyst (CFA): The CFA Charter is a globally recognized designation that attests to success in a rigorous and comprehensive study program in the investment management and research industry and a commitment to ethical conduct. CFA Charterholders are held to a standard of loyalty, prudence, and care in all interactions with clients and must act for the benefit of clients and place their interests above all others. CFA Charterholders are bound to comply with any legally required fiduciary duty.

The CFA Program is a graduate-level self-study program that combines a broad-based curriculum of investment principles with professional conduct requirements. It is designed to prepare charter holders for a wide range of investment specialties that apply in every market all over the world. To earn a CFA charter, applicants study for three exams (Levels I, II, III) using an assigned curriculum. Upon passing all three exams and meeting the professional and ethical requirements, they are awarded a charter.

Item 3: Disciplinary Information

No management person at Humanize Wealth has ever been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

Item 4: Other Business Activities

Kyle Vann Mylius is not involved with outside business activities.

Item 5: Additional Compensation

Kyle Vann Mylius does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Humanize Wealth.

Item 6: Supervision

Kyle Vann Mylius, as Partner & Head of Investments of Humanize Wealth, is responsible for supervision. Kyle Vann Mylius maintains a written compliance manual outlining supervisory procedures. Kyle Vann Mylius is responsible for supervising his own activities. The compliance manual is reviewed no less than annually. He may be contacted at the phone number on this brochure supplement.

Item 7: Requirements for State Registered Advisers

Kyle Vann Mylius has NOT been involved in an arbitration, civil proceeding, self-regulatory proceeding, administrative proceeding, or a bankruptcy petition.